

AD \_\_\_\_\_

Award Number: W81XWH-11-1-0635

TITLE: Treatment of Social Competence in Military Veterans, Service Members, and  
Civilians with Traumatic Brain Injury

PRINCIPAL INVESTIGATOR: Cynthia Harrison-Felix, PhD

CONTRACTING ORGANIZATION: Craig Hospital  
Englewood, CO 80113

REPORT DATE: August 2014

TYPE OF REPORT: Annual Report

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;

Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.					
1. REPORT DATE August 2014		2. REPORT TYPE Annual		3. DATES COVERED 1 August 2013 – 31 July 2014	
4. TITLE AND SUBTITLE Treatment of Social Competence in Military Veterans, Service Members, and Civilians with Traumatic Brain Injury.				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER W81XWH-11-1-0635	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Jennifer Coker, MPH Clare Morey, MA, CCC-SLP Cynthia Harrison-Felix, PhD  E-Mail: <a href="mailto:jcoker@craighospital.org">jcoker@craighospital.org</a> ; <a href="mailto:cmorey@craighospital.org">cmorey@craighospital.org</a> ; <a href="mailto:charrison-felix@craighospital.org">charrison-felix@craighospital.org</a>				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)  Craig Hospital Englewood, CO 80113				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT Impairments in social competence are among the most prevalent sequelae after traumatic brain injury (TBI). Without successful social skills a person is often isolated, in conflict with others, and denied access to social and vocational opportunities. The aim of this study is to determine the effectiveness of a manualized group treatment program to improve and maintain social competence for individuals with TBI with identified social skill deficits. The Group Interactive Structured Treatment (GIST) - Social Competence program is a holistic, dual-disciplinary intervention targeting the pervasive interpersonal and communication problems that often interfere with participation at work, home, school and in the community after TBI. During the second year of this project, all sites completed the Pilot portion of this study. The Pilot study enabled us to accomplish the following: 1) train clinicians involved on this project and give them experience and feedback implementing the GIST treatment; 2) refine the alternative intervention at Craig Hospital; 3) gain experience with the assessment tools for this project; and 4) modify the protocol for the RCT based on the experience during the Pilot. All modifications to the protocol for the RCT have been made and approved by all local IRB's and by the HRPO. Five of the six site began actively recruiting for the RCT during this second year; two sites have begun the first wave of the RCT intervention..					
15. SUBJECT TERMS Traumatic brain injury, social competence, social skills, intervention					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT U	b. ABSTRACT U	c. THIS PAGE U			USAMRMC
			UU	11	19b. TELEPHONE NUMBER (include area code)

<b>TABLE OF CONTENTS</b>	<b>PAGE</b>
Introduction.....	2
Body.....	3
Key Research Accomplishments.....	9
Reportable Outcomes.....	9
Conclusion.....	9
References.....	9
Appendices.....	9

## INTRODUCTION

**Background:** Impairments in social competence are among the most prevalent sequelae after traumatic brain injury (TBI). Without successful social skills a person is often isolated, in conflict with others, and denied access to social and vocational opportunities. The aim of this study is to determine the effectiveness of a manualized group treatment program to improve and maintain social competence for individuals with TBI with identified social skill deficits. The Group Interactive Structured Treatment (GIST) - Social Competence program is a holistic, dual-disciplinary intervention targeting the pervasive interpersonal and communication problems that often interfere with participation at work, home, school and in the community after TBI.

**Aims and Hypotheses:** Aim 1: Measure the effectiveness of the GIST intervention with multisite implementation. Hypothesis 1a: Those receiving the GIST will demonstrate significant improvement in social competence, compared to those receiving the alternative treatment, as measured by the Profile of Pragmatic Impairment in Communication (PPIC). Hypothesis 1b: Compared to the alternative intervention, those receiving the GIST will maintain improvement in social competence at 3 months post-intervention, as measured by the PPIC. Hypothesis 1c: Compared to the alternative intervention, those receiving the GIST will demonstrate improvement in additional aspects related to social competence at 3 months post-intervention, as measured by two subscales of the Behaviorally Referenced Rating System of Intermediate Social Skills-Revised, the LaTrobe Communication Questionnaire, the Goal Attainment Scale, the Brief Symptom Inventory-18, and the Post Traumatic Stress Disorder Check List – Civilian version. Hypothesis 1d: Compared to the alternative intervention, those receiving the GIST will demonstrate improvement at 3 months post intervention in quality of life, as measured by the Satisfaction with Life Scale. Aim 2: Identify the potent ingredients associated with the GIST. Hypothesis 2a: Compared to the alternative intervention, those receiving the GIST will demonstrate stronger social self efficacy associated with improved social competence, as measured by the Scale of Perceived Self Efficacy. Hypothesis 2b: For participants in the GIST intervention, higher group cohesion measured by the TFI: Cohesiveness Scale will be associated with improved social competence.

**Study Design:** This study uses a two-arm, multi-centered randomized controlled clinical trial design to compare the GIST treatment to an alternative treatment, in which participants are presented information from the GIST treatment program without the group process. A total of 192 military, veteran and civilian participants with mild to moderate TBI will be enrolled by six centers. Measures will be collected at baseline, post-treatment, and 3 months post-treatment. Videotapes of participants will be evaluated for social competence by blinded independent raters, and progress on individualized social skills goals will be assessed. Replicable training of group leaders will include a 2 ½ day in-person workshop followed by feedback during a pilot of the intervention and alternative intervention. The fidelity of the intervention will be assessed by independent raters using a standardized instrument to ensure that the intervention is implemented consistently. Results of this study will be disseminated to relevant stakeholders via presentations and publications. By the end of this study, the field will have definitive evidence about the effectiveness of a group social competence intervention for people with TBI.

**Military Benefit:** The proposed study has a high degree of relevance for returning OIF/OEF soldiers and veterans post-TBI due to the prevalence of social reintegration difficulties in this population. The GIST intervention has the potential to assist our soldiers and veterans in returning to full participation in their families, communities and productive activity.

## **BODY**

Objective 1: Establish infrastructure for successful collaboration:

- T1: Conduct Steering Committee teleconferences & local Project Site Team meetings:  
ONGOING. Monthly teleconferences with all sites; bi-monthly meetings locally all documented by meeting minutes.
- T2: Schedule & conduct Steering Committee via web conference:  
WEB CONFERENCE not needed at this point as all coordination is occurring via monthly teleconferences.
- T3: Schedule study training in Colorado:  
COMPLETE.
- T4: Monitor budget and study progress monthly:  
ONGOING. Due to delays in startup of the RCT, due to slower than expected IRB approvals, need for additional training, and RCT recruitment, the lead site and sub-awardees carried over funds from Year 2 to Year 3, carry overs will also occur from Year 3 into Year 4 as well.

Objective II: Finalize study design, project materials, & obtain IRB approval

- T1: Finalize study design, measures & interventions:  
COMPLETE
- T2: Submit IRB/regulatory applications per site:  
COMPLETE
- T3: Prepare data dictionary/syllabus & project protocols:  
COMPLETE
- T4: Finalize training agenda and materials:  
COMPLETE
- T5: Obtain IRB/regulatory approvals at each site:  
COMPLETE

Objective III: Design, Test, and Implement Data Management System

- T1: Design Data Management System:  
COMPLETE
- T2: Program data dictionary & data entry for all study measures & tracking:  
COMPLETE
- T3: Test/revise data management system:  
COMPLETE
- T4: Program data management reports:  
COMPLETE. Data management reports are run internally at NDSC and each center is given instructions on data fixes.

OBJECTIVE IV: Train collaborating researchers & group therapists

- T1: Train study researchers & therapists  
COMPLETE. Initial training for all sites was completed in June of 2012. An additional therapist training was completed with five sites in March 2013, and with the 6<sup>th</sup> site in June 2013.
- T2: Evaluate Training  
COMPLETE. An additional training session for therapists after the pilot was completed was added, and ongoing treatment fidelity monitoring was increased.

T3: Training as needed for dropout of group therapists; evaluate training

ONGOING: Two sites have required training of a new therapist. One training took place on January 24, 2014 at Craig Hospital. The second new therapist training was conducted remotely and was completed in August 2014..

OBJECTIVE V: Complete pilot of study interventions & assessments

T1: Recruit/consent 8 participants per site – 16 at Craig - total of 56 for 6 sites

COMPLETE. A total of 52 participants were recruited and consented for the Pilot study as follows:

Craig Hospital – 15  
Rehab Hospital of Indiana – 7  
Hunter Holmes McGuire VA – 8  
Palo Alto Health Care System – 7  
Rehab Institute of Michigan – 7  
University of Washington – 8

T2: Complete baseline testing of pilot participants

COMPLETE. Baseline testing was completed on a total of 52 participants for the Pilot study as follows:

Craig Hospital – 15  
Rehab Hospital of Indiana – 7  
Hunter Holmes McGuire VA – 8  
Palo Alto Health Care System – 7  
Rehab Institute of Michigan – 7  
University of Washington – 8

T3: Conduct pilot interventions

COMPLETE.

T4: Complete fidelity checklist, & provide group therapists feedback at weekly calls

COMPLETE

T5: Complete post-treatment testing of pilot participants

COMPLETE Due to participant drop-out, post treatment testing was completed on a total of 33 out of 52 participants for the Pilot study as follows:

Craig Hospital – 10  
Rehab Hospital of Indiana – 5  
Hunter Holmes McGuire VA – 3  
Palo Alto Health Care System – 5  
Rehab Institute of Michigan – 3  
University of Washington – 7

T6: Solicit/integrate feedback from participants, therapists, researchers

COMPLETE. Based on our experience during the Pilot study and on feedback and discussions with the other centers, a number of revisions were made to the original protocol to make the Randomized Controlled Trial a stronger project. All of these changes were submitted to local IRB's and HRPO for approval prior to implementation. These changes included:

- 1) Added an additional therapist training.
- 2) Dropped data collection from Significant Others (too difficult to collect, only about 25% of cases in the Pilot study).

3) Added questions about military experience to the demographics form, and added a formal measure for assessing history of TBI.

4) Replaced the Group Cohesion Scale-Revised with a simpler cohesion measure called the TFI: Cohesiveness Scale.

5) Decided not to administer the cohesion scale to the Alternative treatment group because the questions are not appropriate for this intervention which is not group oriented. (This resulted in changing hypothesis 2b which addresses the concept of group cohesion.)

6) Modified and finalized the format for the Alternative treatment.

7) Adjusted the reimbursement/compensation for participation so that individuals get some reimbursement for each session to help offset transportation costs.

T7: Update IRB approvals as needed

COMPLETE. All six sites have local IRB and HRPO approval for the RCT portion of the study.

**OBJECTIVE VI: Enroll & randomize participants in study**

T1: Identify, recruit & screen potential study participants

ONGOING. One site is still actively recruiting.

T2: Consent 16 eligible study participants at each of 6 sites for first wave

COMPLETE. A total of 90 participants have been consented at six sites for Wave 1 as follows:

Craig Hospital – 15  
University of Washington – 16  
Rehab Hospital of Indiana – 16  
Hunter Holmes McGuire VA – 13  
Palo Alto Health Care System – 16  
Rehab Institute of Michigan – 14

T3: Randomize participants into treatment & alternative treatment

COMPLETE. A total of 90 participants have been randomized at six sites for Wave 1 as follows:

Craig Hospital – 15  
University of Washington – 16  
Rehab Hospital of Indiana – 16  
Hunter Holmes McGuire VA – 13  
Palo Alto Health Care System – 16  
Rehab Institute of Michigan - 14

T4: Consent 16 eligible study participants at each of 6 sites for 2nd Wave

ONGOING. A total of 72 participants have been consented for Wave 2 at fivesites as follows:

Craig Hospital – 16  
University of Washington – 16  
Rehab Hospital of Indiana – 12  
Hunter Holmes McGuire VA - 14  
Palo Alto Health Care System – 14

T5: Randomize Wave 2 participants into treatment & alternative treatment  
ONGOING. A total of 72 participants have been randomized for Wave 2 at five sites as follows:

Craig Hospital – 16  
University of Washington – 16  
Rehab Hospital of Indiana – 12  
Hunter Holmes McGuire VA - 14  
Palo Alto Health Care System - 14

OBJECTIVE VII: Collect baseline data

T1: Administer initial baseline assessments to study participants

ONGOING. A total of 162 participants (Waves 1& 2) have completed baseline assessments as follows:

Craig Hospital – 31  
University of Washington – 32  
Rehab Hospital of Indiana – 28  
Hunter Holmes McGuire VA – 27  
Palo Alto Health Care System – 30  
Rehab Institute of Michigan - 14

T2: Enter baseline data into database

ONGOING: A total of 134 cases of baseline data have been entered into the database as follows:

Craig Hospital – 31  
University of Washington – 32  
Rehab Hospital of Indiana – 28  
Hunter Holmes McGuire VA – 13  
Palo Alto Health Care System – 30

OBJECTIVE VIII: Implement study intervention

T1: Complete 2 waves of treatment group intervention at each site

ONGOING. Wave 1 of treatment has been completed at five sites, and is in progress at one site. Wave 2 of treatment has been completed at two sites and is in progress at three sites. Because Rehab Institute of Michigan has had difficulty recruiting participants for this study, they will only run one wave of the intervention. University of Washington is recruiting for a third wave of intervention to replace Michigan's second wave.

T2: Complete 2 waves of alternative intervention at each site

ONGOING. Wave 1 of alternative treatment has been completed at five sites and is in progress at one site. Wave 2 of alternative treatment has been completed at two sites and is in progress at three sites.

OBJECTIVE IX: Implement intervention fidelity assessments

T1: Complete fidelity ratings for all GIST treatment sessions where fidelity was not met during the Pilot study and provide feedback.

ONGOING. For Wave 1 of GIST treatment, for sessions where fidelity was not met during the Pilot, 24/29 (83%) of sessions rated met fidelity. Additionally, during Wave 1, there were 5 sessions rated for fidelity because the site had a new therapist – of these 2/5 (40%) met fidelity. For Wave 2 of GIST treatment, for sessions where fidelity was not met during Wave 1, 5/5 (100%) of session rated met fidelity. For the one site



that has just begun Wave 1, there are 8 more sessions of Wave 1 to be rated for fidelity that was not met in the Pilot.

T2: Complete fidelity ratings on 4 random GIST treatment sessions

ONGOING. For Wave 1 of GIST treatment, for randomly rated sessions, 17/17 (100%) of sessions rated randomly met fidelity. For Wave 2 of GIST treatment, for randomly rated sessions, 8/9 (89%) of sessions met fidelity. There are 2 more random sessions in Wave 1 to be rated for fidelity. There are 14 more random sessions in Wave 2 to be rated for fidelity.

T3: Complete fidelity ratings on all alternative treatment sessions for Wave 1 and provide feedback.

ONGOING. For Wave 1, 45/48 (94%) of alternative treatment sessions rated met fidelity. There are 10 more alternative treatment sessions in Wave 1 to be rated for fidelity. We have continued to randomly rate fidelity for Wave 2 of the alternative treatment. For Wave 2 of the alternative treatment, 8/8 (100%) of sessions rated randomly met fidelity.

T4: Enter fidelity data into database

ONGOING

OBJECTIVE X: Collect follow-up study assessments

T1: Administer immediate post-intervention assessments to participants

ONGOING. A total of 79 post-intervention assessments have been completed as follows:

Craig Hospital – 26  
University of Washington – 23  
Rehab Hospital of Indiana – 11  
Hunter Holmes McGuire VA – 9  
Palo Alto Health Care System - 10

T2: Administer 3-month post-intervention follow-up assessments to participants

ONGOING. A total of 39 3-month post-intervention assessments have been completed as follows:

Craig Hospital – 11  
University of Washington – 11  
Rehab Hospital of Indiana – 9  
Hunter Holmes McGuire VA - 8

T3: Enter follow-up data into database

ONGOING. A total of 79 cases of post-intervention data have been entered into the database as follows:

Craig Hospital – 26  
University of Washington – 23  
Rehab Hospital of Indiana – 11  
Hunter Holmes McGuire VA – 9  
Palo Alto Health Care System - 10

A total of 39 cases of 3-month post-intervention data have been entered into the database as follows:

Craig Hospital – 11  
University of Washington – 11  
Rehab Hospital of Indiana – 9  
Hunter Holmes McGuire VA - 8

OBJECTIVE XI: Implement PPIC/BRIS rating system (\*Note that as indicated in the Quarterly report in January 2014, the BRIS rating system, a secondary outcome measure, has been removed from the study protocol. The study investigators determined this tool did not provide any new information that was not already being captured by the PPIC, and that the BRIS rating system was too broad and abstract to train raters to be reliable in using it.)

T1: Train independent PPIC raters & establish reliability

MODIFIED/DELAYED. Training of raters will begin September 2014 to coincide with completion of all video data collection for Wave 1 at 5 sites, and completion of all video data collection for Wave 2 at University of Washington.,

T2: Collate/randomize video tapes from each completed wave of participants

DELAYED. The first batch of video files will be randomized in September 2014 when 5 sites have completed all video data collection for Wave 1, and when University of Washington has completed all video data collection for Wave 2. The second batch of video files will be randomized when the Rehab Institute of Michigan completes all video data collection for Wave 1, University of Washington completes all video data collection for Wave 3, and all other sites complete all video data collection for Wave 2.

T3: Complete PPIC ratings on all video tapes and enter into database

DELAYED. Video ratings on the first batch of randomized video files will begin in October 2014.

OBJECTIVE XII: Analyze & interpret data

T1: Analyze & interpret baseline data

DELAYED, anticipated to begin by September, 2014.

T2: Analyze & interpret RCT data

DELAYED, anticipated to begin after March, 2015

T3: Analyze & interpret training data

NOT YET SCHEDULED TO START, and will be delayed to begin by January, 2015.

OBJECTIVE XIII Transition plan for continuity of development

T1: Give 1 or 2 presentations at national professional meetings

NOT YET SCHEDULED TO START

T2: Submit 2 articles for publication

NOT YET SCHEDULED TO START

T3: Update workbook and training program on current GIST website

NOT YET SCHEDULED TO START

T4: Conduct training workshop at a DoD Scientific meeting

NOT YET SCHEDULED TO START

T5: Collaborate with NIDRR-MSKT to produce consumer brochure on evidence base for social competence intervention

NOT YET SCHEDULED TO START

T6: Post study results and brochure for consumers on lead center website

NOT YET SCHEDULED TO START

## KEY RESEARCH ACCOMPLISHMENTS

### Enrollment Table

As of August 2014

SITE	Principal Investigator	HRPO Log Number (Pilot)	# Enrolled (Pilot)	HRPO Log Number (RCT)	# Enrolled (RCT)
Craig Hospital	Cynthia Harrison-Felix, PhD	A-16793.ai	15	A-16793.a ii	31
Palo Alto Health Care System	Laura Howe, JD, PhD	A-16793.bi	7	A-16793.b ii	30
Rehab Institute of Michigan	Scott Millis, PhD	A-16793.ci	7	A-16793.c ii	14
Rehab Hospital of Indiana	Flora Hammond, MD	A-16793.di	7	A-16793.d ii	28
University of Washington	Kathleen Bell, MD	A-16793.ei	8	A-16793.e ii	32
Hunter Holmes McGuire VA	William Walker, MD	A-16793.fi	8	A-16793.f ii	27

### REPORTABLE OUTCOMES

No reportable outcomes as of yet.

### CONCLUSIONS

No conclusions to report as of yet.

### REFERENCES

None

### APPENDICES

None